

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Eliyahou Harari et al.		
Title:	Flash EEprom System		
Application No.:	09/867,836	Filing Date:	May 30, 2001
Examiner:	Tran, Andrew Q.	Group Art Unit:	2824
Docket No.:	SNDK.A06US7	Conf. No.:	6307

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Patent no. 5,172,338 and parent patent no. 5,991,517 are being asserted by their assignee SanDisk Corporation in a matter before the United States International Trade Commission, Investigation no. 337-TA-560. This Statement is being filed to bring information arising from this matter to the attention of the Examiner.

An "Expert Report of Dr. Richard Pashley . . ." includes opinions that certain claims of the '338 and '517 patents are invalid over the prior art and unenforceable due to inequitable conduct in obtaining their grant. Also included in this Statement are references discussed in this expert report that have not already been submitted in the present application. A "Rebuttal Expert Report of G.R. Mohan Rao, Ph.D. . . ." submitted on SanDisk's behalf in that matter rebuts the invalidity opinions of Dr. Pashley's report.

This ITC matter is still pending, an evidentiary hearing being scheduled for December, 2006.

This information disclosure statement is submitted under 37 C.F.R. § 1.97(c). The fee of \$180.00 has been authorized via EFS to Deposit Account 502664. The Commissioner is hereby


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authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account 502664.

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Respectfully submitted,



Gerald P. Parsons

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November 22, 2006

Date

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U.S. Department of Commerce, Patent and Trademark				Atty. Docket No.		Application No.	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				SNDK.A06US7		09/867,836	
				Applicants		Conf. No.	
(Use several sheets if necessary)				Eliyahou Harari et al.		6307	
(Form PTO-1449)				Filing Date		Art Group	
				May 30, 2001		2824	
U.S. Patent Documents							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	1	5,136,541	6/21/1988	Sparks et al.			
	2	4,752,871	8/4/1992	Arakawa			
Foreign Patent Documents							
							Translation
		Document	Date	Country	Class	Subclass	Yes No
	3	JP S 59-121696	7/13/1984	Japan			X
	4	JP H62-6493	1/13/1987	Japan			X
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
	5	S. Mehrotra et al., "Serial 9Mb Flash EEPROM for Solid State Disk Applications," 1992 Symposium on VLSI Circuits Digest of Technical Papers, IEEE, pp. 24-25.					
	6	David A. Rich, "A Survey of Multivalued Memories," IEEE Transaction of Computers, Vol. C-35, No. 2, February, 1986, pp. 99-106.					
	7	Clinton Duo et al., "An 80 ns 32K EEPROM Using the FETMOS Cell," IEEE Journal of Solid-State Circuits, Vol. SC-17, No. 5, October, 1982, pp. 821-827.					
	8	Electronic Industries Association, JEDEC Standard, "Configurations for Solid State Memories, JEDEC Standard No. 21-B (Revision of JESD21-A and JESD21-A-1), CS-0336, 337-TA-560, December, 1988, pp. i-36, 39-147.					
	9	"Expert Report of Dr. Richard Pashley Regarding the Invalidity of U.S. Patent No. 5,172,338 and U.S. Patent No. 5,991,517," United States International Trade Commission, Investigation No. 337-TA-560, September 7, 2006, pp. i-387.					
	10	"Rebuttal Expert Report of G.R. Mohan Rao, Ph.D. Regarding Validity and Enforceability of U.S. Patent Nos. 5,172,338 and 5,991,517," United States International Trade Commission, Investigation No. 337-TA-560, September 26, 2006, pp. i-245, Exhibit List, 6 pages.					
	11	"Addendum to the Rebuttal Expert Report of G.R. Mohan Rao, Ph.D. Regarding Validity and Enforceability of U.S. Patent Nos. 5,172,338 and 5,991,517," United States International Trade Commission, Investigation No. 337-TA-560, September 28, 2006, 5 pages.					
Examiner			Date Considered				
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.</p>							